

KANYASHREE UNIVERSITY

Master of Laws 3rd Semester Examination-2024

Subject: Law

Course- LMCC 14 (A)

Law and Regulation of Capital Market

Full Marks-40

Time-2.00 Hours

GROUP - A

(Answer **any four** of the following)

(5×4=20)

1. What are the functions of Stock Exchange?
2. Write a short note on source of Debt financing.
3. What is Primary and Secondary Capital Market?
4. Does a company possess power to purchase its own shares?
5. Does SEBI possess power to make or amend bye-laws of recognised stock exchange? Comment.
6. Briefly explain the role and responsibilities of a qualified depository participants.
7. Discuss briefly the process of IPO grading.

GROUP – B

(Answer **any two** of the following)

(10× 2=20)

1. Explain rule regarding conditions precedent to submission of application for listing of a company by stock exchange under Securities Contracts (Regulations) Rules, 1957.
2. Write down role, significance and functions of capital market.
3. Critically assess as to how the SEBI is empowered to make enquiry and inspection under the Depositories Act, 1996.
4. Discuss in detail about the capital market reform in India.
